

# Orbital profile and orbit algebra of oligomorphic permutation groups

## **Conjecture of Macpherson**

Justine Falque  
joint work with Nicolas M. Thiéry

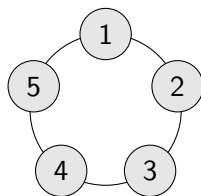
Laboratoire de Recherche en Informatique  
Université Paris-Sud (Orsay)

EJCIM, March 29th of 2018

## Age and profile : example on a finite group (1)

Action of the cyclic group  $G = C_5$  on the five pearl necklace

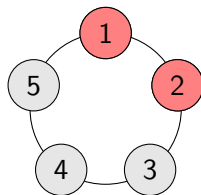
→ induced action on subsets of pearls



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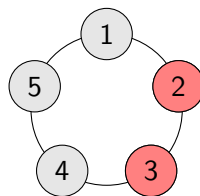
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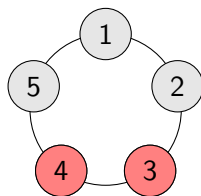
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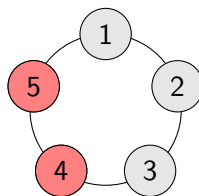
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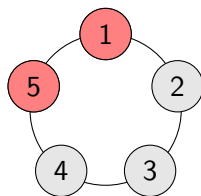
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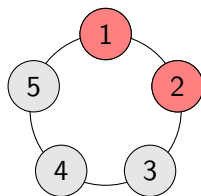


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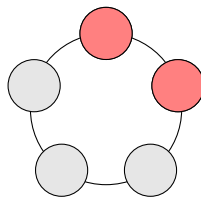


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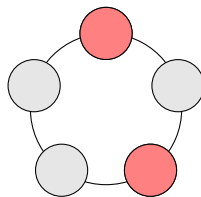


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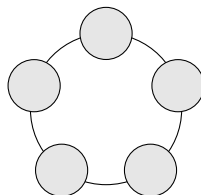
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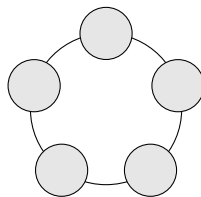
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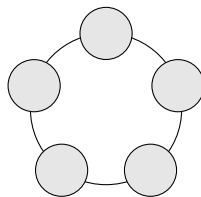
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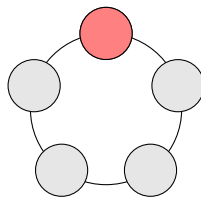
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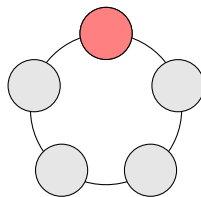
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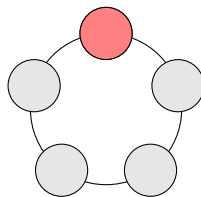
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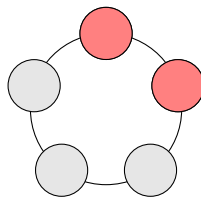
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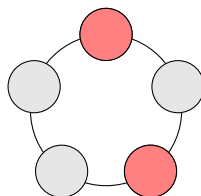
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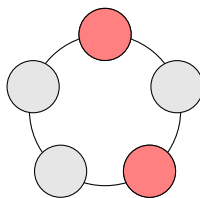
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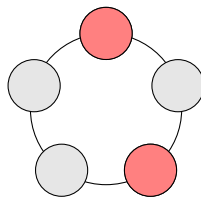
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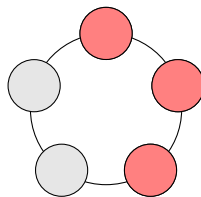
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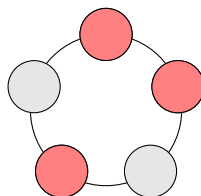
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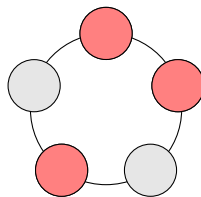
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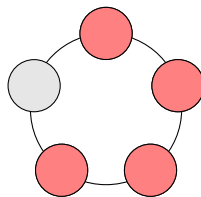
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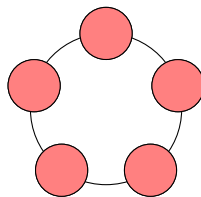
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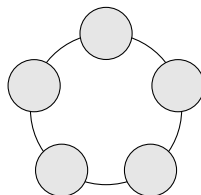
$$\varphi_G(2) = 2$$

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$$\varphi_G(n) = 0 \text{ si } n > 5$$



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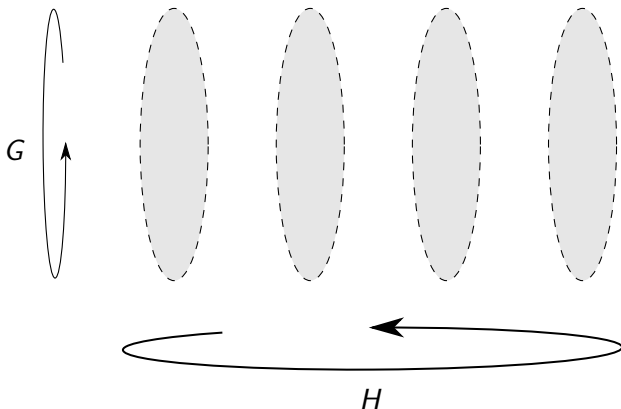
→ **Oligomorphic permutation groups:**

$$\varphi_G(n) < \infty \quad \forall n \in \mathbb{N}$$

## Wreath product of two permutation groups

$$G \leq \mathfrak{S}_M, H \leq \mathfrak{S}_N$$

$G \wr H$  has a natural action on  $E = \sqcup_{i=1}^N E_i$ , with  $\text{card} E_i = M$ .



## Examples

- $G = \mathfrak{S}_\infty \wr \mathfrak{S}_\infty$  (action on a denumerable set of copies of  $\mathbb{N}$ )

An orbit of degree  $n \longleftrightarrow$  a partition of  $n$

$\varphi_G(n) = \mathcal{P}(n)$ , the number of partitions of  $n$

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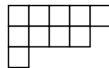
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- $G = \mathfrak{S}_\infty \wr \mathfrak{S}_m$

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## Conjecture of Cameron

### Conjecture (Cameron, 70s)

If a profile is bounded by a polynomial it is **quasi-polynomial**:

$$\varphi_G(n) = a_s(n)n^s + \cdots + a_1(n)n + a_0(n),$$

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### Note

$$\mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})} \implies \varphi_G \text{ quasi-polynomial of degree at most } k - 1$$

# Graded algebras

## Definition: Graded algebra

$A = \bigoplus_n A_n$  such that  $A_i A_j \subseteq A_{i+j}$ .

## Example

$A = \mathbb{K}[x_1, \dots, x_m]$  is a graded algebra.

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## Hilbert series

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## Proposition

$A$  is finitely generated  $\implies$  Hilbert  $(A) = \frac{P(z)}{(1-z^{d_1}) \dots (1-z^{d_k})}$

## Example

Hilbert  $(\mathbb{Q}[x, y, t^3]) = \frac{1}{(1-z)^2(1-z^3)}$

## A strategy to prove Cameron's conjecture?

- $G$ : an oligomorphic permutation group with polynomial profile
- Find a graded algebra  $\mathbb{Q}\mathcal{A}(G) = \bigoplus_{n \geq 0} A_n$  such that

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- Deduce:

$$\mathcal{H}_G = \frac{P(z)}{(1 - z^{d_1}) \cdots (1 - z^{d_k})}$$

and thus the quasi-polynomiality of  $\varphi_G(n)$

## Cameron, 1980: the orbit algebra $\mathbb{Q}\mathcal{A}(G)$

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### Vector space structure

- finite formal linear combinations of orbits (ex:  $2o_1 + 5o_2 - o_3$ )
- graded by degree, with  $\dim(A_n) = \varphi_G(n)$  by construction

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### Vector space structure

- finite formal linear combinations of orbits (ex:  $2o_1 + 5o_2 - o_3$ )
- graded by degree, with  $\dim(A_n) = \varphi_G(n)$  by construction

### Product?

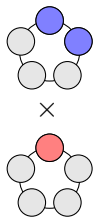
- Defined on subsets:

$$ef = \begin{cases} e \cup f & \text{if } e \cap f = \emptyset \\ 0 & \text{otherwise} \end{cases}$$

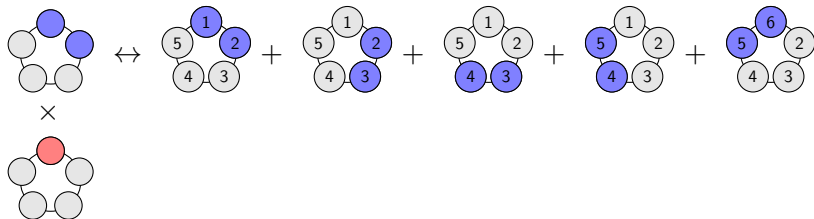
- $o = \{e_1, e_2, \dots\} \longleftrightarrow e_1 + e_2 + \dots$

## Example of product on a finite case

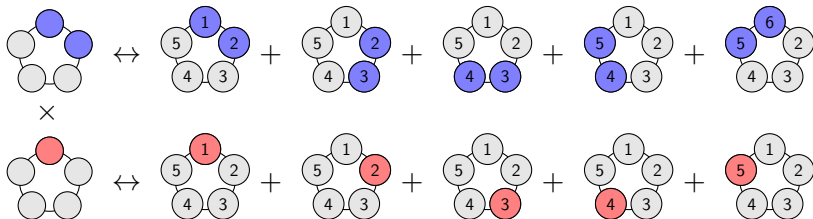
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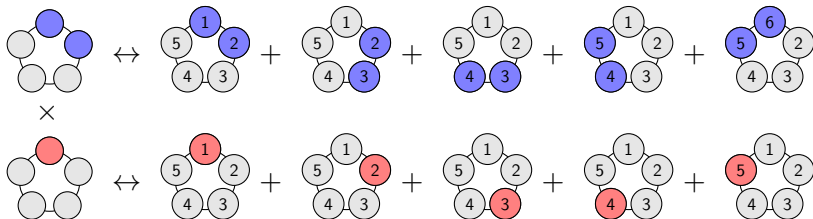


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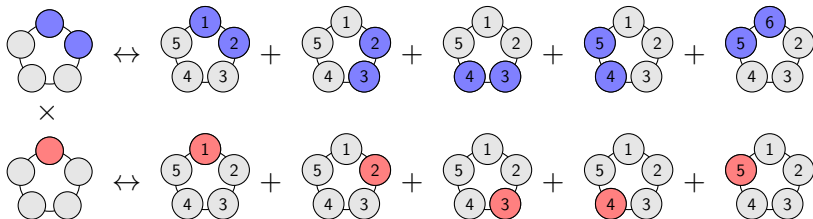




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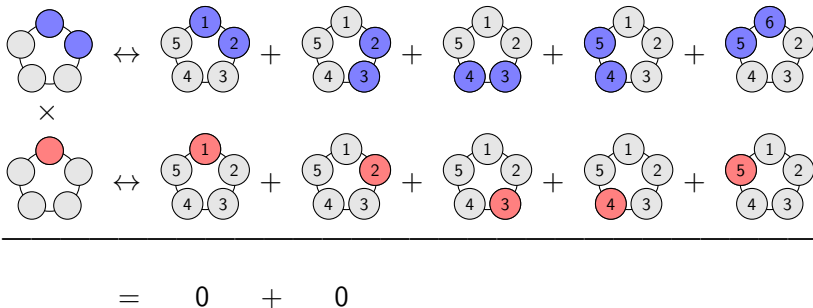
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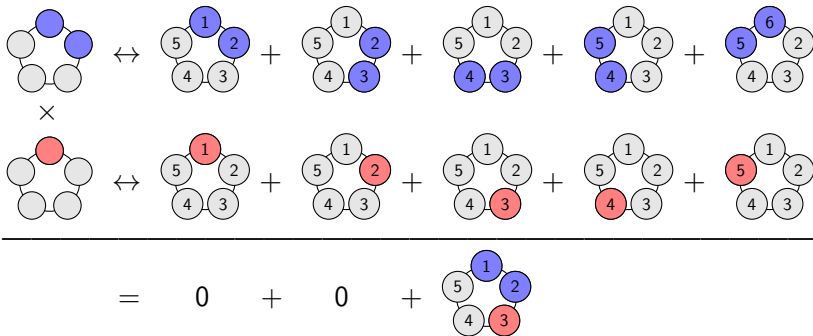
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$= 0$

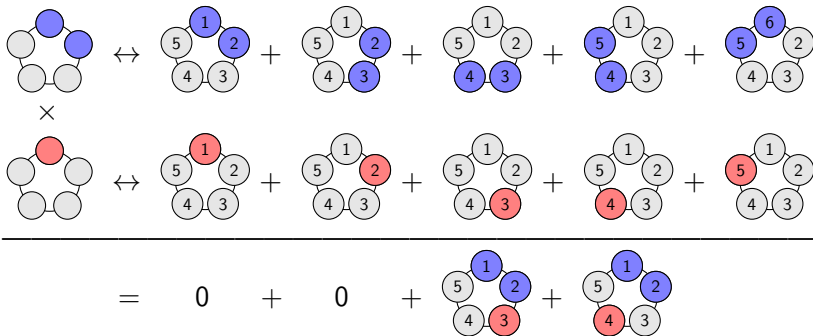
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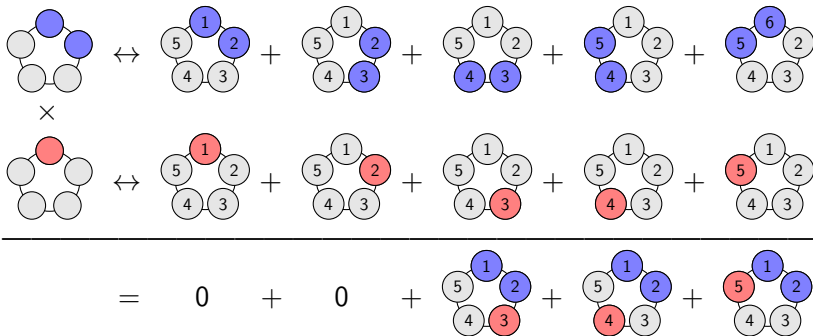
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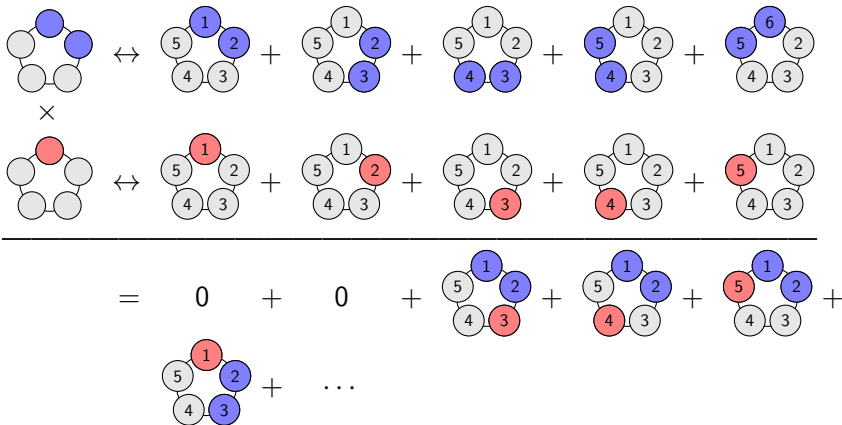
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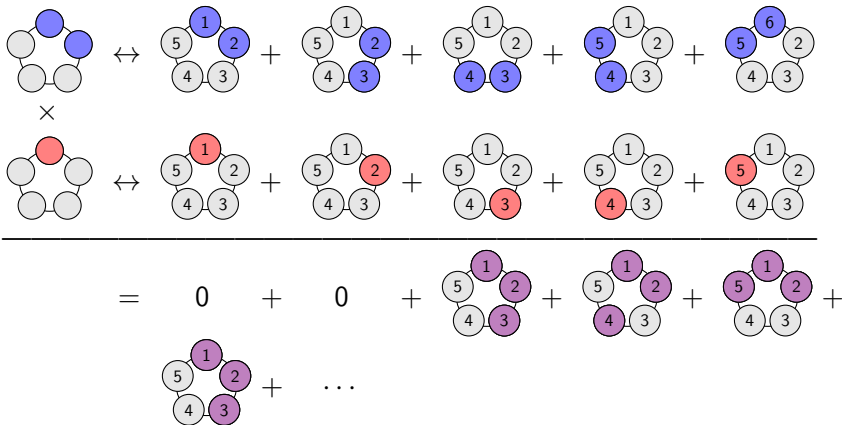
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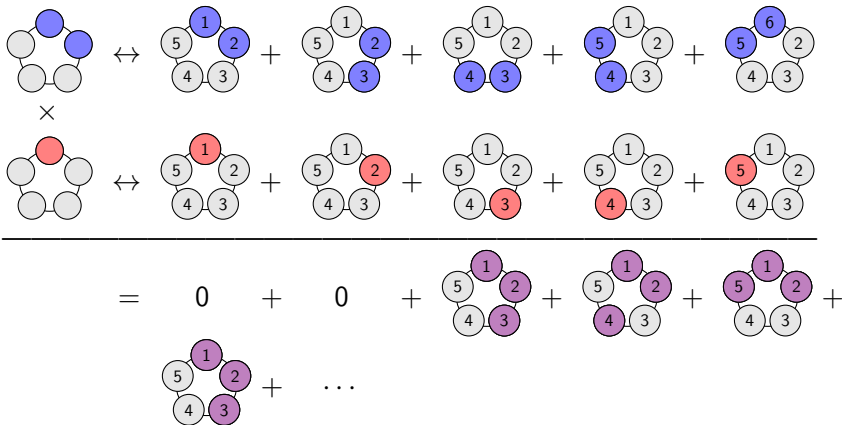


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$$\begin{array}{c}
 \begin{array}{c} \text{Diagram 1} \\ \times \\ \text{Diagram 2} \end{array} \\
 \Leftrightarrow \begin{array}{c} \text{Diagram 1.1} \\ + \\ \text{Diagram 1.2} \\ + \\ \text{Diagram 1.3} \\ + \\ \text{Diagram 1.4} \\ + \\ \text{Diagram 1.5} \end{array} \\
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 \hline
 = \begin{array}{c} 0 \\ + \\ 0 \\ + \\ \text{Diagram 3.1} \\ + \\ \text{Diagram 3.2} \\ + \\ \text{Diagram 3.3} \\ + \\ \dots \end{array} \\
 \hline
 = 2 \begin{array}{c} \text{Diagram 3.1} \end{array}
 \end{array}$$

The diagrams are pentagons with nodes labeled 1, 2, 3, 4, 5. The top row shows the product of two pentagons (one with nodes 1, 2 blue; one with node 1 red). The middle row shows the expansion into 10 terms. The bottom row shows the simplification to 2 times a single pentagon with nodes 1, 2 purple.

## Example of product on a finite case

$$\begin{array}{c}
 \begin{array}{c}
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 \times \\
 \text{Diagram 2}
 \end{array}
 \leftrightarrow
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 \\
 \text{Diagram 2.6} + \text{Diagram 2.7} + \text{Diagram 2.8} + \text{Diagram 2.9} + \text{Diagram 2.10}
 \end{array}
 \\
 \hline
 = 0 + 0 + \begin{array}{c} \text{Diagram 3.1} \end{array} + \begin{array}{c} \text{Diagram 3.2} \end{array} + \begin{array}{c} \text{Diagram 3.3} \end{array} + \dots \\
 \hline
 = 2 \begin{array}{c} \text{Diagram 4.1} \end{array} + 2 \begin{array}{c} \text{Diagram 4.2} \end{array} + \dots
 \end{array}$$

The diagrams are five nodes in a pentagon labeled 1, 2, 3, 4, 5.

Diagram 1: Nodes 1 and 2 are blue.

Diagram 2: Node 1 is red.

Diagrams 2.1-2.5: Node 1 is blue. Nodes 2, 3, 4, 5 are white.

Diagrams 2.6-2.10: Node 1 is white. Nodes 2, 3, 4, 5 are white.

Diagrams 3.1-3.3: Nodes 1, 2, 3 are purple. Nodes 4, 5 are white.

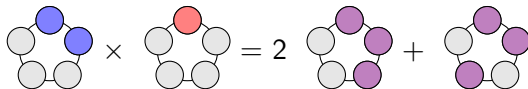
Diagrams 4.1-4.2: Nodes 1, 2, 3, 4 are purple. Node 5 is white.

## Example of product on a finite case

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 \hline
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## Non trivial fact

Product well defined (and graded) on the space of orbits.

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### Non trivial fact

Product well defined (and graded) on the space of orbits.

→ **The orbit algebra of a permutation group**

## Examples of orbit algebras (1)

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If  $G = \mathfrak{S}_\infty$ ,  $\varphi_G(n) = 1$  for all  $n$ , and  $\mathbb{Q}\mathcal{A}(G) = \mathbb{K}[x]$ .



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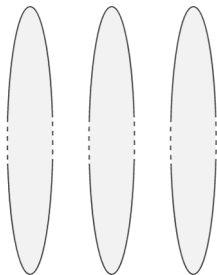
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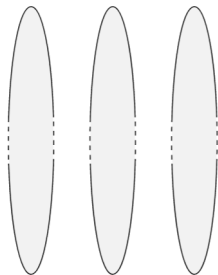
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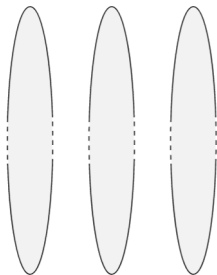
### Example 1

If  $G = \mathfrak{S}_\infty$ ,  $\varphi_G(n) = 1$  for all  $n$ , and  $\mathcal{QA}(G) = \mathbb{K}[x]$ .

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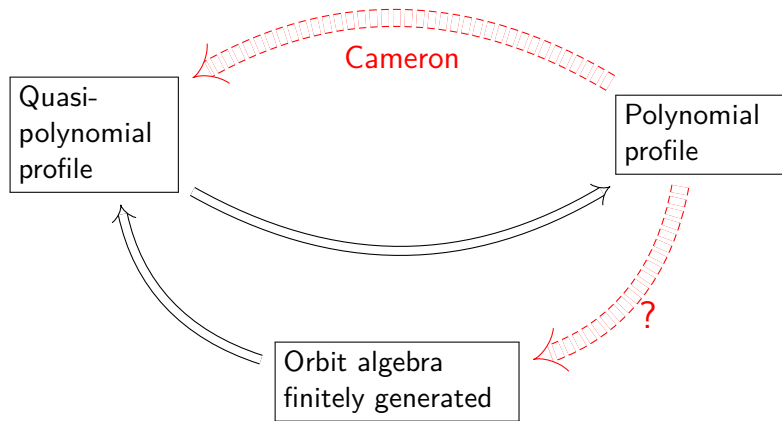
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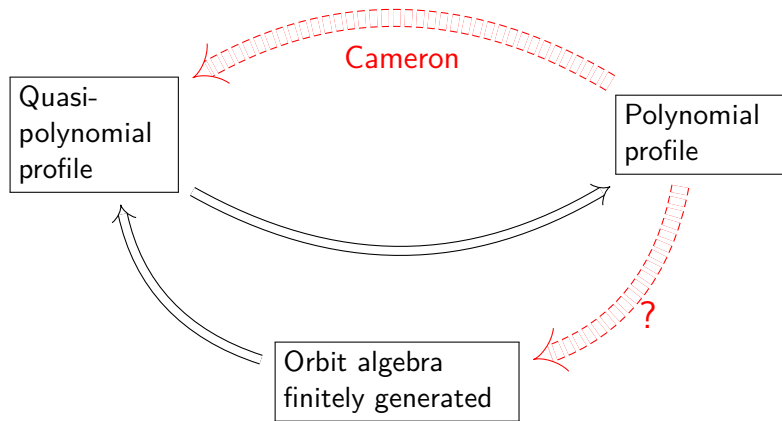
$$\rightarrow \mathcal{QA}(\mathfrak{S}_\infty \wr \mathfrak{S}_3) = \mathbb{K}[x_1, x_2, x_3]^{\mathfrak{S}_3}$$

More generally, for  $H$  subgroup of  $\mathfrak{S}_m$ ,  
 $\mathcal{QA}(\mathfrak{S}_\infty \wr H) = \mathbb{K}[x_1, \dots, x_m]^H$ , the  
algebra of invariants of  $H$

## Overview and conjecture of Macpherson



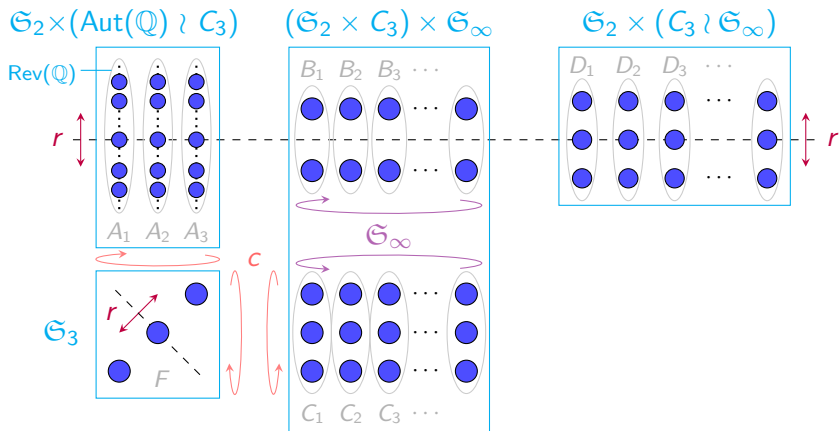
# Overview and conjecture of Macpherson



Conjecture (Macpherson, 1985)

Profile of  $G$  polynomial  $\iff \mathcal{QA}(G)$  finitely generated

## A typical group with profile bounded by a polynomial



# Ideas of the proof of the conjecture of Macpherson



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→ *The conjectures of Macpherson and Cameron hold !*

# Thank you for your attention !

## Context

- $G$  permutation group of a countably infinite set  $E$
- Profile  $\varphi_G$ : counts the orbits of finite subsets of  $E$
- **Hypothesis** :  $\varphi_G(n)$  bounded by a polynomial
- Conjecture (Cameron) : quasi-polynomiality of  $\varphi_G$
- Conjecture (Macpherson) : finite generation of the orbit algebra

## Results

- Both conjectures hold
- The orbit algebra is a Cohen-Macaulay algebra

## Question

- On what algebra ? What about higher growths ?

## Finite index subgroups

### Theorem

Let  $H$  be a finite index subgroup of  $G$ .

- The profiles of  $G$  and  $H$  are asymptotically equivalent
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### Application : reduction of Macpherson's conjecture

Without loss of generality, we may assume for instance that  $G$  has no finite orbit.

But there will be more...

## Block systems

### Definition : Block system

Partition of  $E$  such that each part is globally mapped onto another one (or itself) by every element of  $G$

(see previous examples)

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→ The groups we are interested in have a constant profile equal to 1 or have a block system.

## The complete primitive groups

### Theorem (Classification, Cameron)

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- $\text{Aut}(\mathbb{Q})$  : automorphisms of the rational chain (increasing functions)
- $\text{Rev}(\mathbb{Q})$  : generated by  $\text{Aut}(\mathbb{Q})$  and one reflection
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Well known, nice groups.

In particular, their orbit algebra is finitely generated.

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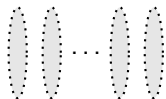
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- $B(G) \rightarrow$  action on the blocks is primitive
- Actually,  $G$  acts on the blocks as  $\mathfrak{S}_\infty$

## Synchronization

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## Example

If  $G_1 = G_2 = \mathfrak{S}_\infty$ , the actions are either independent or totally synchronized. One may assume safely, for our purposes, the same about the other four groups.

## Application to the canonical block system

Works on orbits of blocks  $\rightarrow$  essentially independent in  $B(G)$

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Convenient fact if  $E = E_1 \sqcup E_2$

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Works on orbits of blocks  $\rightarrow$  essentially independent in  $B(G)$

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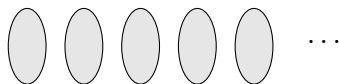
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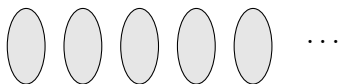
- Wreath products  $\rightarrow$  OK
- Direct products  $\rightarrow$  OK
- General case ?



## The "hard case" : transitive block system of finite blocks



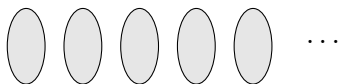
## The "hard case" : transitive block system of finite blocks



### Definition : Tower of $G$

$H_0 H_1 H_2 \dots$  where  $H_i$  is the restriction to the block  $i + 1$  of the subgroup of  $G$  that stabilizes all the blocks and acts trivially on the first  $i$  blocks.

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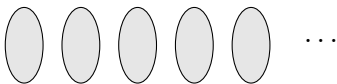
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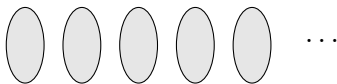
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The tower of  $G$  must be of shape :  $H_0 H H H \dots$

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### Proposition 1

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The tower of  $G$  must be of shape :  $H_0 H H H \dots$

Thus,  $G$  has the same orbit algebra as  $\frac{H_0}{H} \times H \wr \mathfrak{S}_\infty$ ,  
which is of finite index over  $H \wr \mathfrak{S}_\infty$ .

## The "hard case" : transitive block system of finite blocks

### Sketch of proof.

1. Finite case of four blocks only :

$G$  has tower  $H_0 H_1 H_2 H_3 \Rightarrow H_1 = H_2$

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- Restrictions to orbits of finite blocks may be thought of as wreath products for the sake of proving the conjecture
- Solves the issue of possible finite synchronizations between different orbits of blocks

## Stronger result : Cohen-Macaulay algebra

- Finite generation of the orbit algebra  $\Rightarrow \mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})}$

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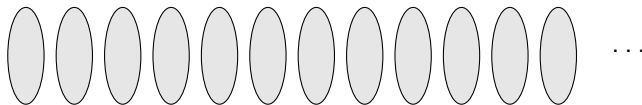
- Finite generation of the orbit algebra  $\Rightarrow \mathcal{H}_G = \frac{P(z)}{(1-z^{d_1})\cdots(1-z^{d_k})}$
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- Case of Cohen-Macaulay algebras (free finite module over a free finitely generated algebra) :  $\exists P(z)$  with positive coefficients
- Once again, it is possible to adapt a proof of invariant theory to obtain that the orbit algebra is indeed a Cohen-Macaulay algebra

## Direct product in the case of finite blocks

"Speak, friend..."

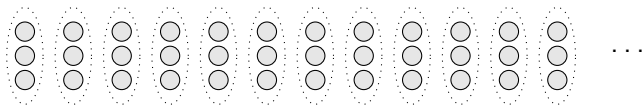


## Direct product in the case of finite blocks

"Speak, friend..."

### Example 3

$C_3 \times \mathfrak{S}_\infty$  acting on blocks of size 3

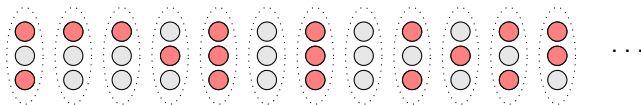


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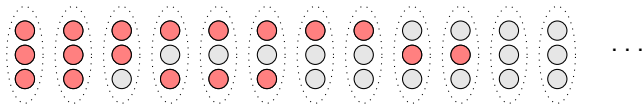


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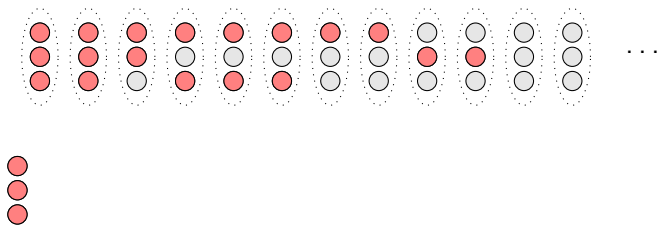


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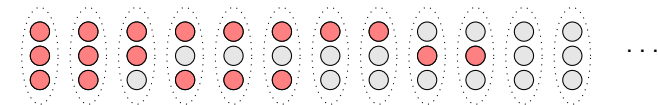


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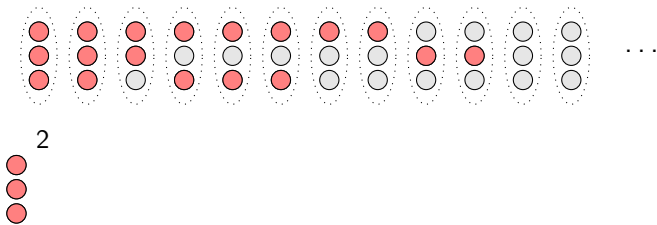


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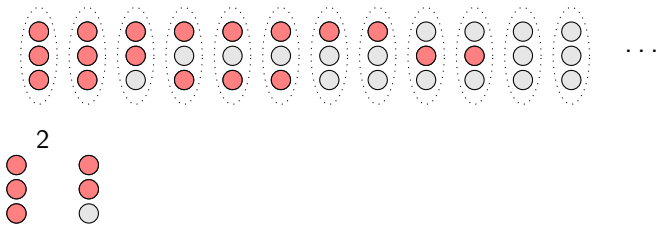


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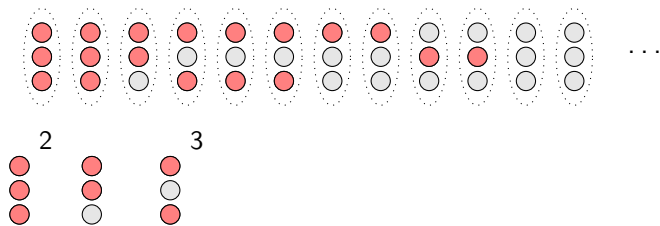
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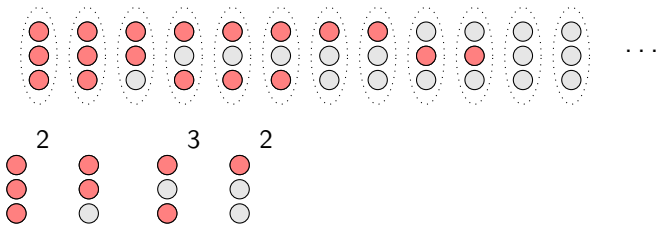
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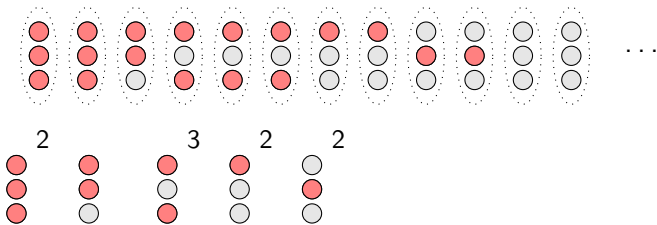


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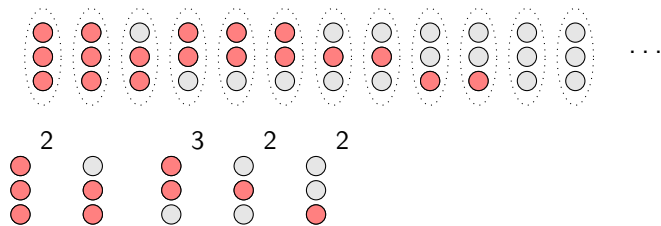




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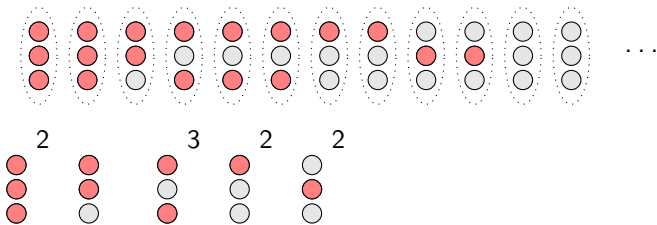
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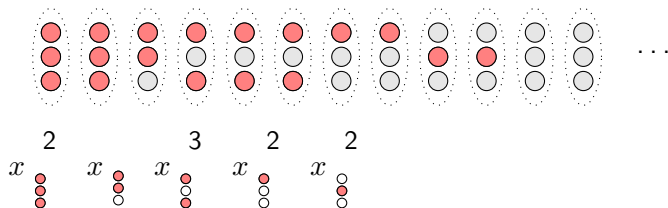


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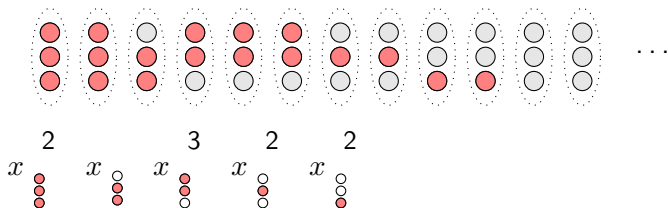


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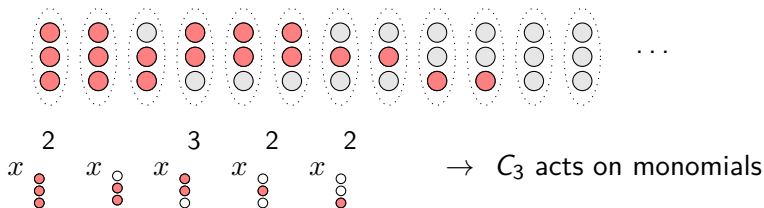
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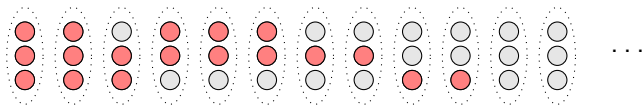
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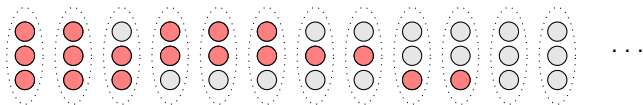
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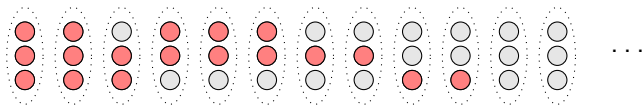
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$$x \begin{array}{c} \bullet \\ \bullet \\ \circ \end{array} + x \begin{array}{c} \circ \\ \bullet \\ \bullet \end{array}$$

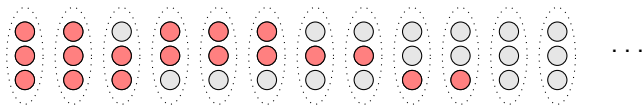
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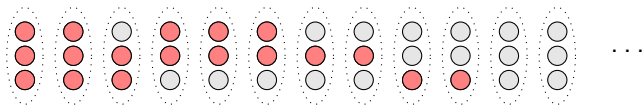
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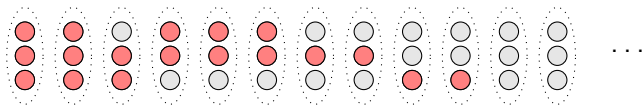
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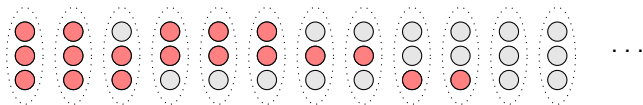
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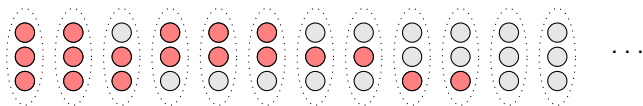
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$$O\left(x \begin{array}{c} \bullet \\ \bullet \\ \circ \end{array}\right) \cdot O\left(x \begin{array}{c} \bullet \\ \bullet \\ \circ \end{array}\right) = O\left(x \begin{array}{c} \bullet \\ \bullet \\ \circ \end{array} x \begin{array}{c} \bullet \\ \bullet \\ \circ \end{array}\right)$$

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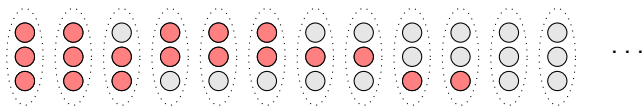
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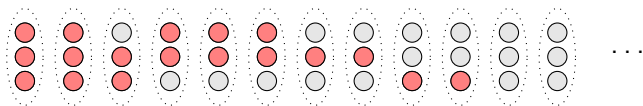
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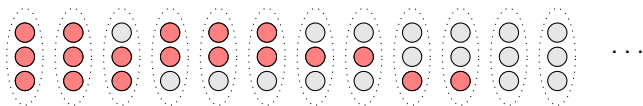
$$O\left(\begin{smallmatrix} x \\ \bullet \\ \bullet \\ \circ \end{smallmatrix}\right) \cdot O\left(\begin{smallmatrix} x \\ \bullet \\ \bullet \\ \circ \end{smallmatrix}\right) = O\left(\begin{smallmatrix} x & x \\ \bullet & \bullet \\ \bullet & \bullet \\ \circ & \circ \end{smallmatrix}\right) + O\left(\begin{smallmatrix} x & x \\ \bullet & \circ \\ \bullet & \bullet \\ \circ & \circ \end{smallmatrix}\right) + O\left(\begin{smallmatrix} x & x \\ \bullet & \bullet \\ \bullet & \circ \\ \circ & \bullet \end{smallmatrix}\right)$$

$$O\left(\begin{smallmatrix} \bullet \\ \bullet \\ \bullet \\ \circ \end{smallmatrix}\right) \cdot O\left(\begin{smallmatrix} \bullet \\ \bullet \\ \bullet \\ \circ \end{smallmatrix}\right)$$

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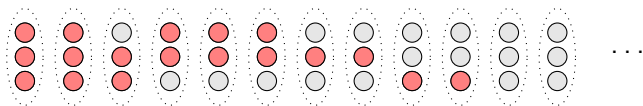
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## Example 3

 $C_3 \times \mathfrak{S}_\infty$  acting on blocks of size 3 $G' = C_3$  acting on (non empty) subsets $\mathbb{K}[x]^{G'} \longleftrightarrow$  Orbit algebra of  $C_3 \times \mathfrak{S}_\infty$  ?

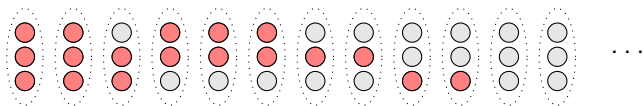
$$O\left(\begin{array}{c} x \\ \bullet \\ \bullet \end{array}\right) \cdot O\left(\begin{array}{c} x \\ \bullet \\ \bullet \end{array}\right) = O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \bullet \end{array}\right) + O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \bullet \end{array}\right) + O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \bullet \end{array}\right)$$

$$O\left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}\right) \cdot O\left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}\right) = O\left(\begin{array}{cc} \bullet & \bullet \\ \bullet & \bullet \\ \bullet & \bullet \end{array}\right) + O\left(\begin{array}{cc} \bullet & \bullet \\ \bullet & \bullet \\ \bullet & \bullet \end{array}\right)$$

## Direct product in the case of finite blocks

"Speak, friend..."

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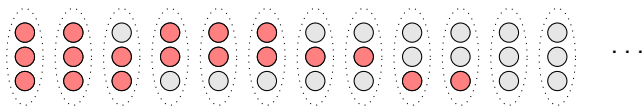
$$O\left(\begin{array}{c} x \\ \bullet \\ \bullet \\ \circ \end{array}\right) \cdot O\left(\begin{array}{c} x \\ \bullet \\ \circ \\ \circ \end{array}\right) = O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \bullet \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} x & x \\ \bullet & \circ \\ \bullet & \bullet \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \circ \\ \circ & \bullet \end{array}\right)$$

$$O\left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \\ \circ \end{array}\right) \cdot O\left(\begin{array}{c} \bullet \\ \circ \\ \circ \\ \circ \end{array}\right) = O\left(\begin{array}{cc} \bullet & \bullet \\ \bullet & \circ \\ \bullet & \circ \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} \bullet & \circ \\ \bullet & \bullet \\ \bullet & \circ \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} \bullet & \circ \\ \bullet & \circ \\ \bullet & \bullet \\ \circ & \bullet \end{array}\right)$$

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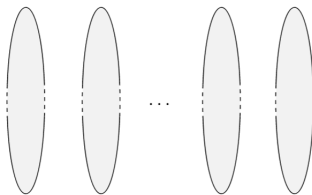
$$O\left(\begin{array}{c} x \\ \bullet \\ \bullet \\ \circ \end{array}\right) \cdot O\left(\begin{array}{c} x \\ \bullet \\ \circ \\ \circ \end{array}\right) = O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \bullet \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} x & x \\ \bullet & \circ \\ \bullet & \bullet \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} x & x \\ \bullet & \bullet \\ \bullet & \circ \\ \circ & \bullet \end{array}\right)$$

$$O\left(\begin{array}{c} \bullet \\ \bullet \\ \circ \end{array}\right) \cdot O\left(\begin{array}{c} \bullet \\ \circ \\ \circ \end{array}\right) = O\left(\begin{array}{cc} \bullet & \bullet \\ \bullet & \circ \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} \bullet & \circ \\ \bullet & \bullet \\ \circ & \circ \end{array}\right) + O\left(\begin{array}{cc} \bullet & \circ \\ \bullet & \circ \\ \circ & \bullet \end{array}\right) + 3 O\left(\begin{array}{c} \bullet \\ \bullet \\ \bullet \end{array}\right)$$

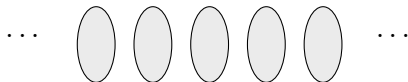
## Examples of orbit algebras (2)

More generally, for  $H$  subgroup of  $\mathfrak{S}_m$  :

- $G = \mathfrak{S}_\infty \wr H$  :  
 $\mathbb{Q}\mathcal{A}(G) = \mathbb{K}[x_1, \dots, x_m]^H$ , the algebra of invariants of  $H$   
 $\mathbb{Q}\mathcal{A}(G)$  is finitely generated by Hilbert's theorem.



- $G = H \wr \mathfrak{S}_\infty$  :  
 $\mathbb{Q}\mathcal{A}(G) =$  the free algebra generated by the age of  $H$



## The "hard" case : case of four blocks

Lemma to prove

$G$  has tower  $H_0 H_1 H_2 H_3 \Rightarrow H_1 = H_2$

Lemma

In the general case :

$\text{Fix}_G(B_1, \dots, B_n)$  acts on the remaining blocks as  $\mathfrak{S}_\infty$   
(due to the absence of normal subgroup of finite index of  $\mathfrak{S}_\infty$ ).

Proof.

An element  $s \in G$  stabilizing the blocks  $\leftrightarrow$  a quadruple

$g \in H_1 \rightarrow \exists (1, g, h, k), \quad h, k \in H_1.$

Let  $\sigma$  be an element of  $G$  that permutes the first two blocks and fixes the other two.

Conjugation of  $x$  by  $\sigma$  in  $G \rightarrow y = (g', 1, h, k)$

Then:  $x^{-1}y = (g', g^{-1}, 1, 1)$

By arguing that the tower does not depend on the ordering of the blocks,  $g^{-1}$  and therefore  $g$  are in  $H_2$ .